

DOCKET NO.: ABTT-0266/B010130

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Deia Salah-Eldin Bayoumi, et al.

Serial No.: 10/040,407

Group Art Unit: Not Yet Assigned

Filing Date: December 28, 2001

Examiner: Not Yet Assigned

For: **OPTIMIZED DISPATCH PLANNING OF DISTRIBUTED RESOURCES IN ELECTRICAL POWER SYSTEMS**

DATE OF DEPOSIT: Feb 8, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Susan C. Murphy
TYPED NAME: Susan C. Murphy
REGISTRATION NO.: 46,221

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the

mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:

☐ Certification in Accordance with §1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in §1.17(p) is attached.

- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with §1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in §1.17(p) is attached.

- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).

- ☒ **Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.**

- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: Feb 8, 2002

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Form PTO-1449 ModifiedDocket No.
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10/040,407List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)Applicant
Deia Salah-Eldin Bayoumi, et al.U.S. Department of Commerce
Patent and Trademark OfficeFiling Date
12/28/2001Group
Not Yet Assigned**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	AA	3,683,161	8/8/72	Stadlin et al.	235	151.21
	AB	3,719,809	3/6/73	Fink	235	151.21
	AC	3,913,829	10/21/75	Fink	235	151.21
	AD	4,527,071	7/2/85	Ausiello	290	4 R
	AE	5,179,376	1/12/93	Pomatto	340	870.02
	AF	5,278,772	1/11/94	Knupp	364	492
	AG	5,323,328	6/21/94	Tanaka	364	492
	AH	5,404,314	4/4/95	Knupp	364	493
	AI	5,424,958	6/13/95	Knupp	364	493
	AJ	5,436,510	7/25/95	Gilbert	307	38

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
	AK	WO 00/17984	3/20/2000	PCT - WIPO	xx	

EXAMINER**DATE CONSIDERED**

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	AL	5,517,422	5/14/96	Ilic et al.	364	492
	AM	5,553,003	9/3/96	Harada et al.	364	492
	AN	5,598,349	1/28/97	Elliason et al.	364	492
	AO	5,621,564	4/15/97	Kageyama et al.	359	248
	AP	5,621,654	4/15/97	Cohen et al.	364	493
	AQ	5,694,329	12/2/97	Pomatto	364	492
	AR	5,706,207	1/6/98	Kürten et al.	364	492
	AS	5,732,074	3/24/98	Spaur et al.	370	313
	AT	5,745,368	4/28/98	Ejebe et al.	364	483
	AU	5,754,033	5/19/98	Thomson	322	45

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	AV	5,794,212	8/11/98	Mistr, Jr.	705	26
	AW	5,796,953	8/18/98	Zey	395	200.57
	AX	5,818,725	10/6/98	McNamara et al.	364	483
	AY	5,834,855	11/10/98	Chiba	307	31
	AZ	5,862,391	1/19/99	Salas et al.	395	750.01
	BA	5,894,422	4/13/99	Chasek	364	528.26
	BB	5,903,455	5/11/99	Sharpe, Jr. et al.	364	188
	BC	5,907,239	5/25/99	Pelletier et al.	323	361
	BD	5,930,779	7/27/99	Knoblock et al.	705	412
	BE	5,956,487	9/21/99	Venkatraman et al.	395	200.48

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	BF	5,960,214	9/28/99	Sharpe, Jr. et al.	395	835
	BG	5,974,403	10/26/99	Takriti et al.	705	412
	BH	6,021,402	2/1/2000	Takriti	705	412
	BI	6,025,700	2/15/2000	Czajkowski	322	59
	BJ	6,047,274	4/4/2000	Johnson et al.	705	412
	BK	6,058,434	5/2/2000	Wilt et al.	709	300
	BL	6,061,603	5/9/2000	Papadopoulos et al.	700	83
	BM	6,066,897	5/23/2000	Nakamura	290	4 A
	BN	6,084,318	7/4/2000	Mardirossian	307	72
	BO	6,088,659	7/11/2000	Kelley et al.	702	62

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	BP	6,092,078	7/18/2000	Adolfsson	707	102
	BQ	6,104,967	8/15/2000	Hagen et al.	700	293
	BR	6,115,698	9/5/2000	Tuck et al.	705	37
	BS	6,122,603	9/19/2000	Budike, Jr.	702	182
	BT	6,155,698	12/5/2000	Yoon et al.	362	268
	BU	6,169,928 B1	1/2/2001	Olson et al.	700	7
	BV	6,178,362 B1	1/23/2001	Woolard et al.	700	295
	BW	6,181,985 B1	1/30/2001	O'Donnell et al.	700	295

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Not Yet Assigned**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

BX	An Arthur D. Little White Paper, " <i>Distributed Generation: Understanding the Economics</i> ," Arthur D. Little, Inc., 1999.
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BY	Paper by Snyder, Aaron F., et al., " <i>An Economic Analysis Development Framework For Distributed Resources</i> " No date available.
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